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Controversies in airway management of COVID-19 patients: updated information and international expert consensus recommendations

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As knowledge and experience in the management of critically ill COVID-19 patients has increased with time, a panel of international experts convened and formulated consensus opinions regarding controversial topics in advanced airway management based on current literature. Here we summarize updated information as well as international expert opinion on several controversial topics concerning airway management in critically ill COVID-19 patients.

Personal protection equipment

Recommendations for the personal protective equipment (PPE) required during aerosol-generating procedures (AGPs), such as advanced airway management, are inconsistent amongst different countries and regions.¹⁻⁸ Two new studies report conflicting results, either supporting⁹ or opposing¹⁰ tracheal intubation and extubation as AGPs. Both studies were limited by small sample size, and used different definitions of AGPs and particle detection methods. Additional carefully designed studies are needed to clarify the risk of aerosolized viral spread during tracheal intubation and extubation. In the interim, it is prudent to continue to consider both as AGPs. Maximal interventions to safeguard healthcare workers from cross-infection with SARS-CoV-2 must be maintained until this question is adequately studied.

Studies from China have classified levels of PPE (Fig 1).¹¹ Level III has been reported to protect healthcare workers from cross infection during a variety of AGPs including tracheal intubation (Table 1),¹¹⁻¹⁴ high-flow nasal

oxygen (HFNO) usage and tracheal intubation using a flexible intubating endoscope in COVID-19 patients.¹⁴ Level II PPE, often used in other countries and regions outside China^{4, 5, 7}, may not provide full protection from cross infection. Cross infection rates in healthcare workers range from 0% to 14.7% (Table 1).^{15, 16} The primary difference between level II and III PPE is that level III includes use of a face shield, eye goggles, water-resistant gown, and hooded coverall. This assists in avoiding exposure of skin or eyes to air and potentially aerosolized viral particles (Fig 1). Table 2 summarizes the commonly used levels of PPE around the world and the reported cross infection rates in healthcare workers. Videolaryngoscopy is the recommended approach for tracheal intubation in COVID-19 patients in order to maximise first pass success rate and minimise exposure of healthcare workers during the procedure.^{1, 12, 17} Awake tracheal intubation (ATI) has been performed successfully using flexible bronchoscopy. To date, cross infection of healthcare workers has not been reported during ATI. Therefore, ATI should be considered for management of the anticipated difficult airway, especially when tracheal intubation under general anaesthesia is considered unsafe.¹³ Level III PPE should be used.

Expert consensus: It appears that the higher the level of PPE used, the better the protection against cross infection. However, adequate protection of healthcare workers is often limited by the availability of PPE during a world pandemic. It is recommended to use the highest level of PPE available in the

management of COVID-19 patients, especially during performance of high-risk AGPs such as tracheal intubation, extubation or tracheostomy.^{1, 12, 18, 19} Fit testing and supervised donning and doffing of PPE remain critical steps in the avoidance of cross infection of healthcare workers. It is crucial to note that PPE must be a part of a comprehensive infection control strategy in order to be effective. Healthcare workers remain a precious resource in the fight against COVID-19.²⁰

Use of high-flow nasal oxygen

Many guidelines initially prohibited or discouraged use of high-flow nasal oxygen (HFNO) in COVID-19 patients, based on the potential risks of aerosol generation and viral spread.¹ The extent of this risk remains unresolved.²¹ Some studies support^{22, 23} use of HFNO while others do not (Table 2).²⁴⁻²⁶ Use of HFNO in critically ill COVID-19 patients with hypoxic respiratory insufficiency has been examined for its potential benefit as the mortality rate of mechanically ventilated patients remains high.²⁷ COVID-19 patients with pulmonary failure but normal lung compliance appear to respond favorably to HFNO treatment, but those patients with impaired lung compliance may not derive the same benefit.²⁸ A cohort study from the 2009 epidemic of respiratory failure due to Influenza A found that use of HFNO reduced the need for mechanical ventilation by 45%.²⁹ The future use of HFNO in COVID-19 patients will be based on its efficacy to diminish hypoxaemia, the need for

mechanical ventilatory support and mortality.

Use of appropriate PPE, as well as supervised donning and doffing, are critical in avoiding cross infection from any AGP. Other factors, such as negative pressure rooms, high air exchange rates through the ventilation system, the ventilation system itself, and use of an anteroom are additional important interventions to reduce the risks of cross infection. The use of HFNO for apnoeic oxygenation during laryngoscopy and tracheal intubation is recommended for selected COVID-19 patients at high risk of hypoxaemia.¹⁴

Another controversy associated with the use of HFNO is the concomitant use of a simple surgical mask as a means to minimise dispersion of aerosols in spontaneously breathing COVID-19 patients. A preliminary study using computational fluid dynamic simulation determined that addition of a surgical mask over a properly fitted HFNO device may be an effective option to reduce droplet deposition from exhaled gas flow.³⁰ A recent study of healthy volunteers evaluated aerosol production with HFNO and Noninvasive Positive Pressure Ventilation (NIPPV) compared with 6 L min⁻¹ low-flow nasal cannula (LFNO).³¹ HFNO and LFNO were studied with and without subjects wearing a type 1 surgical face mask. Aerosol size and mass were measured at two and six feet from the subject's nasopharynx. There was no significant difference in aerosol production between HFNO, NIPPV or LFNO. The use of a surgical mask over the HFNO device did not change aerosolized particle spread.³¹ Further study is critical to confirm the safety and efficacy of the practice of

applying a mask over HFNO devices. Barotrauma is a risk when HFNO is delivered simultaneously with a tightly sealed face mask, such as an anaesthesia face mask, due to excessive delivered pressure so this combination should be avoided.³²

Expert consensus: There is currently no convincing evidence that HFNO increases the risk of COVID-19 cross infection to healthcare workers. Well-designed prospective studies are needed to clarify the risk, if any, and to assess risk reducing interventions. It is recommended that use of HFNO in COVID-19 patients depends on the risk-benefit ratio determined by the clinician for each patient until additional information is available.

Early or late tracheal intubation

Recent studies^{1, 2, 12, 33} have recommended early tracheal intubation to minimise the risk of cross infection of healthcare workers. Early tracheal intubation may obviate the need for urgent intubation and may lessen the severity of hypoxaemia and haemodynamic instability during induction of anaesthesia and tracheal intubation. Results of the combined use of noninvasive respiratory support and awake prone positioning,³⁴ particularly in patients with the Type L (high compliance) acute respiratory distress syndrome (ARDS) are encouraging.²⁸ A recent report showed a significant drop in the mortality rate of patients admitted to ICU with COVID-19. This is likely due to multiple factors, including increased clinical experience, rapidly developing

management strategies and therapeutics as well as increased use of noninvasive ventilatory support such as HFNO.³⁵ Use of HFNO may delay tracheal intubation and mechanical ventilation, as well as reduce the need for admission to ICU.^{21, 36-38}

Expert consensus: This controversy regarding early vs late tracheal intubation is still evolving.³⁹ It is recommended that the appropriate time to intubate COVID-19 patients may be dependent on their individual pathology and pathophysiology, the acute trajectory of their illness in addition to their responsiveness to trials of noninvasive airway management.

Summary

Level III PPE appears to provide healthcare workers with maximum protection against cross infection by aerosolized SARS-CoV-2 viral particles. The highest level of PPE should be considered in the management of COVID-19 patients, especially during performance of AGPs. Global efforts should provide adequate levels of PPE for all healthcare workers during the pandemic as well as uniform application of environmental controls. Use of HFNO should be considered for management of acute respiratory failure and following tracheal extubation of COVID-19 patients as long as optimal environmental measures and protective PPE are available for healthcare workers. Noninvasive ventilation is encouraged as the first line approach before tracheal intubation and mechanical ventilation in critically ill COVID-19

patients with the aforementioned caveats, although further study of this approach is warranted.

Authors' contributions

Conception and writing of manuscript: All authors

Declaration of interests

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Table 1. Healthcare workers cross infection rate at different levels of personal protective equipment

	Infection rate of HCWs following tracheal intubation	Overall Infection rate of HCWs	Proportion of HCWs in confirmed COVID-19 cases
China			
Lack of protection			
Meng et al ¹⁷			29% (40 / 138)
Level I			
Lai et al ⁴⁰		1.4% (93 / 6574)	
Level II			
Lai et al ⁴⁰		0.5% (17 / 3110)	
Level III³			
Wu et al ¹⁴	0% (0 / 6)		
Cai et al ¹³	0% (0 / 9)		
Yao et al ¹²	0% (0 / 52)		
Liu et al ¹⁸	0% (0 / 420) ^a		
Level I-III			
Liu et al ¹¹	2% (11 / 554) ^b		
Wu et al ⁴¹			3.8% (1716 / 44672)
Italy			
Level II⁷			
Livingston et al ⁴²			9% (2026 / 22512)
Li et al ⁴³			>8% (>1116 / 13882)

Anelli et al ⁴⁴		9% (4824 HCWs) ^c
UK		
Level II⁶		
Treibel et al ⁴⁵		11% (44 / 400) ^d
USA		
Level II⁴		
Sullivan et al ⁴⁶		14.7% (508 / 3466)
Morcuende et al ⁴⁷		12.1% (11 / 91)
South Africa		
Level II		
Mendelson et al ⁴⁸	0% (0/41)	
International (17 countries)		
Level II⁵		
El-Boghdadly et al ⁴⁹	10.7% (184/1718) ^e	

^a Tracheal intubation and other aerosol generating procedures. ^b No infection in operators with Level III PPE. ^c Total cases were not reported. ^d Total infection rate among asymptomatic HCWs. ^e The rate listed is the incidence of laboratory - confirmed COVID - 19 diagnosis or new symptoms requiring self - isolation or hospitalization after a tracheal intubation episode. This study included intubation in known and suspected COVID-19 patients. HCW, healthcare worker; PPE, personal protective equipment.






Table 2 Studies on use of High Flow Nasal Oxygen (HFNO) and risks of viral spread

Authors	Type of study	Subject	Details
Restrict Use			
Santarpia et al ²²	Clinical observation	SARS-CoV-2	The highest concentrations of virus in air were recorded during oxygenation through a nasal cannula.
Loh et al ²³	Simulation study	SARS-CoV-2	HFNO increased the dispersion distance of cough-generated droplets
Support Use			
Leung et al ²⁴	Randomized controlled crossover trial	Gram-negative bacteria	HFNO was not associated with increased air or contact surface contamination by bacteria in ICU patients
Hui et al ²⁵	Simulation study	Respiratory virus	HFNO with good interface fitting was associated with limited exhaled air dispersion of virus
Tran et al ²⁶	Systematic review	SARS-CoV	HFNO didn't increase transmission risk significantly
Rello et al ²⁹	Cohort study	H1N1v	No secondary infections in healthcare workers, nor nosocomial pneumonia occurred during HFNO therapy
Miller et al ³¹	Volunteer simulation study	Aerosol production	No significant difference in aerosol production between either HFNO and low-flow nasal cannula
Neutrality			
Agarwal et al ⁵⁰	Systematic review	SARS-CoV-2	Unknown effects of HFNO on risk of virus spreading

Figure Legends

Fig 1. Classification of personal protective equipment.^{11, 12, 17}

Note an N95 mask respirator or surgical mask could be used inside the powered air purifying respirator (PAPR) hood to protect against potential self-contamination during doffing of personal protective equipment (PPE).

	 	 
<p>Level I</p>	<p>Level II Left: face shield; Right: eye goggles</p>	<p>Level III Left: both eye goggles and face shield; Right: powered air purifying respirator (PAPR)</p>
<p>Surgical mask, gloves, regular gown, cap</p>	<p>Equipment in Level I, PLUS: N95 or equivalent (or higher) respirator, Shoe covers, face shield or eye goggles, water-resistant gown or hooded coverall. (Some skin or eye exposure to air)</p>	<p>Equipment in Level II, PLUS: Both eye goggles and face shield or a PAPR both water-resistant gown and hooded coverall. (No skin and eyes exposure to air)</p>